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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/841,058	04/23/2001	Michael H. Spritzer	11156.81

CONFIRMATION NO. 5717  
FORMALITIES LETTER



\*OC00000006496846\*

NEIL K. NYDEGGER  
NYDEGGER & ASSOCIATES  
348 Olive Street  
San Diego, CA 92103

Date Mailed: 08/30/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$216.
  - \$216 for 12 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1056.**

*A copy of this notice **MUST** be returned with the reply.*

*Rec'd > J*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

01/30/2002 SDENB01 00000053 141519 09841058

01 FC:101	740.00	DP
02 FC:105	130.00	DP
03 FC:103	30.00	CH
	106.00	DP



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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Michael H. Spritzer et al.	)
Serial No.:	09/841,058	)
Filed:	April 23, 2001	) Art Unit
For:	PROCESS FOR HYDROTHERMAL TREATMENT OF MATERIALS	) 1724
Examiner:	Unknown	)
Attorney Docket:	11156.81	)

### TRANSMITTAL OF MISSING PARTS

Box MISSING PARTS  
Hon. Director for Patents  
Washington, D. C. 20231

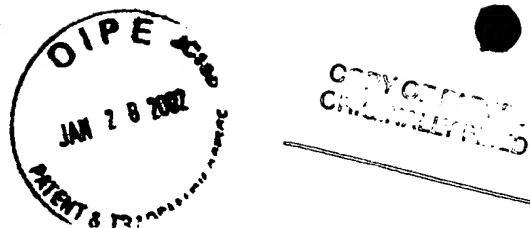
Sir:

Pursuant to 35 C.F.R. § 1.53(d), transmitted herewith for filing are:

A Declaration, Power of Attorney and Petition  
 Applicant claims small entity status pursuant to 37 C.F.R. § 1.27.  
 A copy of the Notice to File Missing Parts of Application

Fees are submitted herewith pursuant to C.F.R. § 1.16(e). Enclosed please find check No. 13599 in the amount of \$1,056.00 to cover the surcharge and the filing fees.

Hon. Director for Patents  
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Please credit any overpayment or charge any additional fees to Deposit Account No. 14-1519 of the undersigned. Triplicate copies of this sheet are enclosed.

Any additional extension of time required for the timely submission of this paper, the fees which have not been previously paid, is hereby petitioned for and requested.

Please address all future correspondence in connection with the above-identified patent application to the attention of Neil K. Nydegger at the address set forth below.

Dated this 23<sup>rd</sup> day of October, 2001.

Respectfully submitted,



NEIL K. NYDEGGER  
Attorney for Applicant  
Registration No. 30,202

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CERTIFICATE OF MAILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Part, Assistant Commissioner for Patents, Washington, D.C. 20231, on this 23<sup>rd</sup> day of October, 2001.



JENNY L. STEEDMAN  
Legal Documents Assistant

Transmitted: Declaration, Power of Attorney, and Petition; Notice to File Missing Parts; and Check No. 13599 in the amount of \$1,056.00

Docket: 11156.81